

# Lecture 6: Introduction to Set Theory

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The boy gave the girl the flower.  
*only*

*Only* the boy gave the girl the flower.  
The *only* boy gave the girl the flower.  
The boy *only* gave the girl the flower.  
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The boy gave the girl the *only* flower.  
The boy gave the girl the flower *only*.

## 1 Sets and Operations on Sets

### Sets

**Definition 1** (Set). • A collection of objects, called *elements* of the set.

- Sets are denoted by upper case letters (e.g.  $A, B, C, \dots$ ), while their elements are denoted by lower case letters (e.g.  $a, b, c, \dots$ ).
- $a \in A$  means ‘ $a$  belongs to set  $A$ ’, and  $b \notin A$  means  $b$  does not.

*Example 2.* Let  $A = \{\text{apple, orange, lemon}\}$ . Then ‘orange’  $\in A$  and ‘cucumber’  $\notin A$ .

*Example 3.* Let  $X = \{x_1, \dots, x_{10}\}$ . Then  $x_2 \in X$  and  $x_{100} \notin X$ .

## How to Write Sets

1. Enumerating elements of the set:

$$A = \{a, b, c\}, \quad B = \{1, 3, 5, 7, 9\}$$

2. Set comprehension notation:

$$A = \{a : P(a)\}$$

where  $:$  means ‘*such that*’, and  $P(x)$  is a rule that describes the common property.

**Definition 4** (Set). is a collection of objects (elements) of some kind with a common property  $P$ , so that, given an object  $a$  and a set  $A$ , it is possible to decide if the object belongs to the set or not (i.e.  $P(a)$  is true or false).

*Example 5.* Set  $B$  can be written  $B = \{b : b \text{ is an odd number and } 0 < b < 10\}$

## Cardinality of a Set

**Definition 6** (Cardinality). of set  $A$  is the number of its elements, and denoted  $|A|$ .

*Example 7.* Let  $A = \{\text{apple, orange, lemon}\}$ . Then  $|A| = 3$ .

- A set  $\{\}$  with no elements is called *empty set*, and denoted  $\emptyset$ .
- There are *infinite* sets, such as the set of all natural numbers:

$$\mathbb{N} := \{1, 2, 3, 4, \dots\}$$

- There are infinite sets with different cardinalities (i.e. different ‘kinds’ of infinity). For example, the set  $\mathbb{R}$  of all real numbers is strictly larger than  $\mathbb{N}$ .

**Question 1.** *What is the cardinality of  $\emptyset$ ? Of  $\{\emptyset\}$ ? Is  $\emptyset = \{\emptyset\}$ ?*

## Subsets

**Definition 8** (Subset). of set  $B$  is set  $A$  such that every element of  $A$  is also an element of  $B$ . Denoted:

$$A \subseteq B \quad \text{or} \quad A \subset B$$

$\subset$  subset (proper)

$$\{a, b, c\} \subset \{a, b, c, d\}$$

$\subseteq$  subset or equal

$$\{a, b, c\} \subseteq \{a, b, c\}$$

$\equiv$  equivalence of sets

$$A \equiv B \text{ iff } A \subseteq B \text{ and } A \supseteq B$$

- Remark 1.**
- Empty set  $\emptyset$  is a subset of any other set  $\emptyset \subseteq A$ .
  - Any set is a subset of itself  $A \subseteq A$ .

### Power Set

**Definition 9** (Power Set). of set  $A$  is the set of all subsets of  $A$ . Denoted  $\mathcal{P}(A)$  or  $2^A$ .

*Example 10.* Power set of  $\{0, 1\}$  is  $\{\emptyset, \{0\}, \{1\}, \{0, 1\}\}$

**Question 2.** What is the power set of  $A = \{\text{apple, orange, lemon}\}$ ?

**Remark 2.** Cardinality of power set  $2^A$  is  $2^{|A|}$ .

### Set Unions and Intersections

**Definition 11** (Union  $A \cup B$ ). is the set of all elements that belong to  $A$  or  $B$ :

$$\{a, b, c\} \cup \{b, c, d\} = \{a, b, c, d\}$$

**Definition 12** (Intersection  $A \cap B$ ). is the set of only those elements that belong to both  $A$  and  $B$ :

$$\{a, b, c\} \cap \{b, c, d\} = \{b, c\}$$

**Remark 3.** Intersection of sets is always a subset of their union:

$$(A \cap B) \subseteq (A \cup B)$$

### Set Membership and IS-A Relation

- Consider the following OAV triplet

Dog IS-A mammal

- The attribute IS-A is a relation connecting two concepts, and it can be represented by *set membership*:

Dog  $\in$  mammal

- Or by *set inclusion*:

Dog  $\subseteq$  mammal

**Remark 4.** In mathematics, set membership  $\in$  and set inclusion  $\subseteq$  are very different relations: The former ( $\in$ ) relates an element (object, atom) to a set; the latter ( $\subseteq$ ) relates two sets (e.g.  $\emptyset \subseteq \emptyset$  but  $\emptyset \notin \emptyset$ ).

## 2 Paradoxes of Naive Set Theory

### Paradoxes of Naive Set Theory

- In naive set theory *every* object belongs to some set.
- Is it possible that set  $A \in A$ ?
- Does there exist a set of *all* sets?

### Barber

A barber in a village put a note:

I shave everybody, and only those, who do not shave themselves.

Does the barber shave himself?

### Russell's paradox

- Bertrand Russell (1872–1970) considered a set  $R$  of all sets that do not belong to themselves (i.e. if  $A \notin A$  then  $A \in R$ ).
- Then  $R \in R$  if and only if  $R \notin R$ .

### Alternative Approaches

#### Type theory

Initiated by Russell. Objects are of different *types*. Such as

‘Hello’ is of type *string*,    23 is of type *number*

#### Axiomatization of Set Theory

Zermelo and Fraenkel introduced restrictions on sets in a form of axioms, including the axiom of Choice, so that the sets are *well-formed*. This is called *ZFC* set theory.

#### Category theory

Considers objects (e.g. sets) and morphisms (functions) between them.

## 3 Correspondences and Mappings between Sets

### Direct (Cartesian) Product

**Definition 13** (Cartesian product). If  $A$  and  $B$  are two sets, then  $A \times B$  is a set with elements  $(a, b)$ , where  $a \in A$  and  $b \in B$ .

*Example 14.* Let  $A = \{\alpha, \beta, \gamma\}$ ,  $B = \{0, 1\}$ . Then

$$A \times B = \{(\alpha, 0), (\beta, 0), (\gamma, 0), (\alpha, 1), (\beta, 1), (\gamma, 1)\}$$

**Remark 5.** *The order is important:*

$$A \times B \neq B \times A$$

## Correspondences and Relations

**Definition 15** (Correspondence). • Is any subset  $R \subseteq A \times B$ .

- The *domain* and *image* of  $R$  are:

$$\text{dom}R = \{a \in A : (a, b) \in R\}, \quad \text{im}R = \{b \in B : (a, b) \in R\}$$

- Inverse correspondence is  $R^{-1} = \{(b, a) : \text{if exists } (a, b) \in R\}$

**Definition 16** ((Binary) Relation). Is any subset  $R \subseteq A \times A$  (i.e. a correspondence between elements of  $A$ )

*Example 17.* Let  $R = \{(\alpha, 0), (\gamma, 1)\}$ . Then  $R^{-1} = \{(0, \alpha), (1, \gamma)\}$  and

$$\text{dom}R = \{\alpha, \gamma\}, \quad \text{im}R = \{0, 1\}$$

## Functions (Mappings)

**Definition 18** (Function (mapping)). Is a correspondence  $f \subset X \times Y$ , such that for each  $x \in X$  precisely one  $y \in Y$  is associated. Denoted

$$f : X \rightarrow Y \quad \text{or} \quad y = f(x)$$

*Example 19.*  $y = x^2$  is a function, while  $y = \sqrt{x}$  is not.

**Remark 6.** • If the inverse  $f^{-1} : Y \rightarrow X$  is also a function, the  $f$  is an *isomorphism*.

- An *isomorphism*  $f : X \rightarrow Y$  puts sets  $X$  and  $Y$  into *one-to-one correspondence*.

## Classification of Mappings

**Definition 20.** A mapping  $f : X \rightarrow Y$  is called

- *Surjective* (or *onto*  $Y$ ) if  $\text{im}f = Y$ .
- *Injective* (or *one-one*) if  $f(x_1) = f(x_2)$  implies  $x_1 = x_2$  (i.e. distinct elements have distinct images).
- *Bijjective* (or *one-one correspondence*) if  $f$  is both surjective and injective.

**Remark 7.** A *bijjective mapping*  $f$  has inverse  $f^{-1}$  and  $f^{-1} \circ f(x) = x$ .

*Example 21.* Linear function  $y = \alpha + \beta x$  is bijjective, and its inverse is  $x = (y - \alpha)/\beta$ .