# Mutation and Optimal Search of Sequences in Nested Hamming Spaces

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October 7, 2011, ITW

Roman Belavkin (Middlesex University, London) Mutation and Optimal Search

# Evolution as an Information Dynamic System

• EPSRC Sandpit 'Math of Life' (July, 2009):



Three year project (2010–12)
 Middlesex University : Roman Belavkin
 University of Warwick : John Aston
 University of Keele : Alastair Channon & Elizabeth Aston
 University of Manchester : Chris Knight & Rok Krasovec

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Representation in Nested Hamming Spaces Habitats, Phenotypes and Genotypes Relatively Monotonic Landscapes

#### Mutation and Adaptation

Point Mutation Operator Mutation and Adaptation in a Hamming Space

### Evolution and Optimal Muation Rates

Markov Evolution Optimal Evolution in Time Analytical Solutions for Special Cases Optimal Evolution in Information

### Conclusions and Questions

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Coding :  $\kappa : \Theta \to \Omega_{\infty}$  (deterministic) or  $P(\omega, \theta)$ . Evolution : search for optimal codes:

$$op_{ heta} := \bigvee_{ heta} \Omega_l$$

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## Question *Rugged landscape?*

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 $\downarrow \qquad \uparrow$ 
 $a, b \in \mathcal{H}^{l}_{\alpha} \xrightarrow{d} -d(a, \top) \leq -d(b, \top)$ 

### Definition (Relatively Monotonic Landscape)

*f* is locally monotonic (isomorphic) relative to a metric *d*, if there exist  $B(\top, l) := \{\omega : d(\top, \omega) \le l\}, \top = \sup \Omega$ , such that  $\forall a, b \in B(\top, l)$ :

$$-d(\top, a) \leq -d(\top, b) \implies (\iff) \quad f(a) \leq f(b)$$

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### Variational problem

• Linear programming problem:

maximize  $\mathbb{E}_p\{d(a,b)\}$  subject to  $\mathbb{E}_p\{\ln(p/q)\} \leq \lambda$ 

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### Proposition

Solution to the variational problem is achieved by independently mutating each letter with probability  $\mu = v/l$ . For  $l \to \infty$  this corresponds to Poisson process with mutation rate v.

# Mutation and Adaptation in a Hamming Space

•a

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### Mutation and Adaptation in a Hamming Space

 $r^{b}$ 

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Τ.

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Mutation and Adaptation in a Hamming Space

### Mutation and Adaptation in a Hamming Space



Mutation and Adaptation in a Hamming Space

# Mutation and Adaptation in a Hamming Space



Mutation and Adaptation in a Hamming Space

### Mutation and Adaptation in a Hamming Space



•  $a \mapsto b \in S(a, r)$ .

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### Mutation and Adaptation in a Hamming Space



- $a \mapsto b \in S(a, r)$ .
- r is mutation radius

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### Mutation and Adaptation in a Hamming Space



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- $P_{\mu}(m \mid n) = ?$

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# Mutation and Adaptation in a Hamming Space



• Expand for all  $r \in [0, l]$ :

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and

$$P(m \mid n, r) = \frac{|S(\top, m) \cap S(a, r)|_{d(\top, a) = n}}{|S(a, r)|}$$

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October 7, 2011, ITW 10 / 28

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#### Control

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 — parameter controlling  $P_{\mu}(x_{s+1} \mid x_s)$ .

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$$\mu(x)$$
 — control function,  $T_{\mu(x)}$ ,  $\mathbb{E}_{\mu(x)}\{x_{s+t}\}$ .

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# Optimal Evolution in Time

Instantaneous

 $\bullet$  Maximum adaptation in no more than  $\lambda$  generations

maximize  $\mathbb{E}_{\mu(x)}\{x_{s+t}\}$  subject to  $t \leq \lambda$ 

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October 7, 2011, ITW 12 / 28

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#### Cumulative

$$\sup_{\boldsymbol{\mu}(x)} \sum_{\lambda=0}^{t} \mathbb{E}_{\boldsymbol{\mu}(x)} \{ x_{s+\lambda} \} \le \sum_{\lambda=s}^{t} \sup_{\boldsymbol{\mu}(x)} \{ \mathbb{E}_{\boldsymbol{\mu}(x)} \{ x_{s+\lambda} \} : t \le \lambda \}$$

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### Adaptation in One Generation

• Minimize  $\mathbb{E}\{n_{s+t}\}$  subject to  $t \leq 1$ .

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### Adaptation in One Generation

- Minimize  $\mathbb{E}\{n_{s+t}\}$  subject to  $t \leq 1$ .
- In this case the optimal function is

$$\mu(n) := \begin{cases} 0 & \text{if } n < l(1 - 1/\alpha) \\ \frac{1}{2} & \text{if } n = l(1 - 1/\alpha) \\ 1 & \text{otherwise} \end{cases}$$

### Step function



# Maximizing Probability of Success

• Probability of 'success'  $P_{\mu}(m < n \mid n)$  (Bäck, 1993, for  $\mathcal{H}_2^l$ ).

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October 7, 2011, ITW 15 / 28

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- Define  $\hat{\mu}(n)$  such that

$$P_{\hat{\mu}}(m < n \mid n) = \max_{\mu} P_{\mu}(m < n \mid n)$$

• This corresponds to maximization of  $\mathbb{E}\{u(m,n)\}$ , where

$$u(m,n) := \begin{cases} 1 & \text{if } m < n \\ 0 & \text{otherwise} \end{cases}$$

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• Probability of mutating directly to optimum

$$P_{\mu}(m = 0 \mid n) = (\alpha - 1)^{-n} \mu^{n} (1 - \mu)^{l-n}$$

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#### Remark

• For n = 1 we have  $\mu = 1/l$  (error threshold).

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• Optimal for Boolean landscapes (Needle in a haystack).

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### Linear function



### Evolution of Fitness in Time



### Information Divergence in Time



#### Instantaneous

 $\bullet\,$  Maximum adaptation in no more than  $\lambda$  generations

maximize  $\mathbb{E}_{\mu(x)}\{x_{s+t}\}$  subject to  $t \leq \lambda$ 

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October 7, 2011, ITW 21 / 28

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#### Cumulative

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Information Dynamics (Belavkin, 2010, 2011)

• Maximum adaptation in no more than  $\lambda$  bits between  $p_s$  and  $p_{s+t}$ :

maximize  $\mathbb{E}_{\mu(x)}\{x_{s+t}\}$  subject to  $\mathbb{E}\{\log(p_{s+t}/p_s)\} \leq \lambda$ 

 $\bullet\,$  Minimum number of bits to achieve adaptation v

minimize  $\mathbb{E}\{\log(p_{s+t}/p_s)\}$  subject to  $\mathbb{E}_{\mu(x)}\{x_{s+t}\} \ge v$ 

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# Information Heuristics $t \leq \lambda \iff I_{KL}(p_{s+t}, p_s) \leq \lambda$

• The optimal  $\mu$  corresponds to CDF of  $P_0(m)$ :

$$\mu(n) = P_0(m < n) = \sum_{m=0}^{n-1} P_0(m)$$

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•  $P_0(m)$  is computed from uniform distribution  $P_0(\omega) = \alpha^{-l}$ :

$$P_{0}(m) = {l \choose m} \left(1 - \frac{1}{\alpha}\right)^{m} \left(\frac{1}{\alpha}\right)^{l-m} = {l \choose m} \frac{(\alpha - 1)^{m}}{\alpha^{l}}$$

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$$\mu(n) = P_0(m < n) = \sum_{m=0}^{n-1} P_0(m)$$

•  $P_0(m)$  is computed from uniform distribution  $P_0(\omega) = \alpha^{-l}$ :

$$P_0(m) = \binom{l}{m} \left(1 - \frac{1}{\alpha}\right)^m \left(\frac{1}{\alpha}\right)^{l-m} = \binom{l}{m} \frac{(\alpha - 1)^m}{\alpha^l}$$

#### Informed Mutation Rate

In a (weakly) monotonic landscape we can use CDF of empirical frequency  $P_e$  of observed fitness values:

$$P_0(m) \iff P_e(x)$$
 and  $P_0(m < n) \iff P_e(x_r > x)$ 

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# Minimal Information Control



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### Evolution of Fitness in Information



### Fitness Variance and Expectation



#### Conclusions and Questions

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#### Conclusions and Questions

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Mutation and Optimal Search

October 7, 2011, ITW 26 / 28
### Summary, Conclusions and Questions

• Representation of open-ended evolution by variable length codes.

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Mutation and Optimal Search

October 7, 2011, ITW 27 / 28

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#### Question

- Have biological organisms evolved such controls?
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- Does the gain in performance outweigh this cost?

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Mutation and Optimal Search

October 7, 2011, ITW 28 / 28

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